

**Amendment and Response**

Applicant: Hamid Partovi et al.

Serial No.: 10/786,879

Filed: February 25, 2004

Docket No.: I435.124.101/15199US

Title: CDR-BASED CLOCK SYNTHESIS

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**REMARKS**

The following remarks are made in response to the Non-Final Office Action mailed February 15, 2008. Claims 8-21 and 26 have been allowed. Claims 1, 5, 22-25, 27, and 28 were rejected. Claims 2-4 and 6-7 have been objected to. With this Response, claims 27-28 have been amended. Claims 1-28 remain pending in the application and are presented for reconsideration and allowance.

**Claim Rejections under 35 U.S.C. § 102**

The Examiner rejected claims 1, 5, 22-23, 25 and 27-28 under 35 U.S.C. § 102(e) as being anticipated by the Aung et al. U.S. Patent Application Publication No. 2003/0212939.

Independent claims 1, 22, 25, 27, and 28 relate to clock data recovery (CDR)-based clock synthesis. A clock signal is synthesized by performing a CDR operation on a clock source signal, in particular, on a potentially noisy clock source signal having a fixed transition density. The CDR operation produces a desired clock signal in response to this clock source signal. Each of independent claims 1, 22, 25, and 27-28 defines features relating to clock synthesis by performing a CDR operation.

The Examiner rejected independent claims 1, 22, 25, and 27-28 based on the Aung et al. publication and in particular Figure 1 and the corresponding text and Figure 7 and the corresponding text. Applicant, however, respectfully submits that the **Figure 1 embodiment and the Figure 7 embodiment disclosed in the Aung et al. publication are two completely distinct embodiments**. Moreover, there is no teaching or suggestion in the Aung et al. publication to combine these individual embodiments. In addition, even if the embodiment of Figure 1 and the embodiment of Figure 7 were combined, this combination would not result in the invention of independent claims 1, 22, 25, and 27-28.

Independent claim 1 claims a clock synthesizer apparatus comprising a CDR circuit including a serial data input. The CDR circuit is operable when a serial data stream is applied via the serial data input for recovering a clock signal from the serial data stream. A clock source input receives a clock source signal having a fixed transition density. The clock source input is coupled to the serial data input for applying the clock source signal to the CDR circuit. The

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CDR circuit is responsive to the clock source signal received at the serial data input to produce a desired clock signal. All of these recited limitations of independent claim 1 are not taught or suggested by the Aung et al. Publication.

One embodiment of such a clock synthesizer apparatus, as defined in independent claim 1, is illustrated in Figure 2 of the present application. In the embodiment illustrated in Figure 2, a CDR circuit CDR2 receives a clock source signal having a fixed transition density (e.g., TXCKSRC). The CDR circuit CDR2 produces via a CDR operation, a desired clock signal (e.g., in Figure 2 a transmit clock for a serializer).

In contrast to independent claim 1, the Aung et al. Publication discloses CDR circuitry associated with programmable logic device (PLD) circuitry. The disclosure of the Aung et al. Publication focuses on PLDs and the problems associated with PLDs. The Aung et al. Publication discloses using CDR signaling in combination with such PLDs. CDR signaling, in this context, means that the clock signal information is embedded in a data signal, and at a receiver side, the clock signal is recovered from the data signal for use in properly processing the data of the data signal. For example, see paragraph [0003] of the Aung et al. Publication.

In particular, Figure 1 of the Aung et al. Publication illustrates CDR receiver circuitry. In Figure 1 of the Aung et al. Publication, receiver 40 includes CDR circuitry 50. CDR circuitry 50 is only conventional CDR circuitry which uses a received reference clock in a serial data signal to extract from the serial data signal a clock signal and a data signal. **However, this CDR circuitry 50 does not have a clock source input coupled to the serial data input of the CDR circuitry 50, and in particular, the CDR circuitry 50 is not responsive to a clock source signal received at the serial data input thereof for producing a desired clock signal, as recited in independent claim 1.** Rather, in Figure 1 of the Aung et al. Publication, the CDR circuitry 50 is responsive to the reference clock signal, which is received at a separate clock input of the CDR circuitry 50.

In the previous Final Office Action in the Response to Arguments, the Examiner referred to only Figure 1 of the Aung et al. Publication and stated that the CDR 50 shown in Figure 1 of the Aung et al. Publication has a clock source input coupled to a serial data input of the CDR, so that the CDR circuit 50 produces a desired clock signal responsive to the clock source signal.

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Applicant respectfully submits, however, that in the description of Figure 1 in the Aung et al. Publication, the CDR circuit 50 just performs a conventional CDR operation on the data signal received through driver 44. This CDR operation is dependent on a reference clock signal received through driver 42, but there is no connection between the terminal where the reference clock signal is received and the terminal where the data signal is received. **As a result, the Aung et al. Publication does not teach or suggest a CDR 50 having a clock source coupled to the serial data input of the CDR circuit 50. Furthermore, the CDR circuit 50 of the Aung et al. Publication is not responsive to any clock source signal received at the serial data input thereof for producing a desired clock signal as recited in independent claim 1.**

By contrast, Figure 7 of the Aung et al. publication illustrates a transmitter of a CDR signal. In Figure 7 of the Aung et al. publication, transmitter 320 of the CDR signal does not have any CDR circuitry, and only uses a PLL 100 which does not have any serial data input, as recited in independent claim 1.

In view of the above, independent claim 1 is not taught or suggested by the Aung et al. Publication.

Independent claim 22 claims a method of synthesizing a clock signal, including providing a clock source signal having a fixed transition density; providing said clock source signal to a serial data input of a clock and data recovery (CDR) circuit; and performing on said clock source signal received at said serial data input of said CDR circuit a CDR operation with said CDR circuit, which produces a desired clock signal in response to said clock source signal.

Independent claim 22 includes similar limitations as in independent claim 1 and as such, the above-remarks with regard to independent claim 1 being patentably distinct over the Aung et al. Publication also apply to amended independent claim 22. In particular, as described above, the Aung et al. Publication only discloses performing a conventional CDR operation on a serial data stream so as to recover from the serial data stream a clock signal and a data signal by using a reference clock signal (see e.g., Figure 1 and corresponding text of the Aung et al. Publication). **The Aung et al. Publication does not teach or suggest performing a CDR operation on a clock source signal having a fixed transition density to produce a desired clock signal, as**

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**recited in independent claim 22.** Rather, the Aung et al. Publication only discloses performing a CDR operation to recover a clock signal from the CDR data stream.

In view of the above, independent claim 22 is not taught or suggested by the Aung et al. Publication.

Amended independent claim 25 claims a serial data transceiver apparatus, including means for deserializing an input serial data stream; means for converting parallel data into an output serial data stream based on a transmit serialization clock signal; and means for producing said transmit serialization clock signal by applying a clock and data recovery (CDR) operation with a CDR circuit to a clock source signal supplied to a serial data input of said CDR circuit.

The above recited limitations of independent claim 25 are not taught or suggested by the Aung et al. Publication for at least the reasons recited above with regards to independent claim 1. In particular, as recited in independent claim 25, the desired clock signal is employed as a transmit serialization clock signal for converting parallel data into an output serial data stream and for transmitting the serial data stream. **The Aung et al. Publication does not teach or suggest producing a desired clock signal, in particular a desired transmit serialization clock signal, by applying a CDR operation to a clock source signal, as recited in independent claim 25.**

**Furthermore, the Aung et al. Publication does not even disclose a transceiver apparatus.** Instead, the Aung et al. Publication discloses PLDs in combination with CDR signaling. For example, Figure 1 and the corresponding text of the Aung et al. Publication only discloses CDR receiver circuitry and Figure 7 and the corresponding text of the Aung et al. publication only discloses a transmitter of a CDR signal that does not have any CDR circuitry.

In view of the above, independent claim 25 is not taught or suggested by the Aung et al. Publication.

Amended independent claim 27 claims a serial data transceiver apparatus comprising means for providing first and second PLL clocks, a first CDR circuit for recovering a received clock signal from the input serial data stream based on the first and second PLL clocks, a second CDR circuit for producing a transmit serialization clock signal based on the first and second PLL

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clocks, and means for converting parallel data into an output serial data stream based on the transmit serialization clock signal.

These recited limitations of amended independent claim 27 are not taught or suggested by the Aung et al Publication. In particular, the Aung et al. Publication does not disclose a PLL for providing the first and second PLL clocks and employing the same first and second PLL clocks for recovering, with a first CDR circuit, a received clock signal from an input serial data stream and for producing, with a second CDR circuit, a transmit serialization clock signal as recited in amended independent claim 27. Therefore, amended independent claim 27 is not taught or suggested by the Aung et al. Publication.

Amended independent claim 28 claims the method of transmitting and receiving serial data comprising providing first and second PLL clocks, recovering, with a first CDR circuit, a received clock signal from an input serial data stream based on the first and second PLL clocks, producing, with a second CDR circuit, a transmit serialization clock signal based on the first and second PLL clocks, and converting parallel data into an output serial data stream based on the transmit serialization clock signal.

The Aung et al. Publication does not teach or suggest all of these recited limitations of amended independent claim 28. In particular, amended independent claim 28 includes providing first and second PLL clocks and defines that the receive clock signal is recovered with the first CDR circuit and the transmit serialization clock signal is produced with the second CDR circuit based on the same first and second PLL clock signals, which is not taught or suggested by the Aung et al. Publication.

Furthermore, dependent claim 5 further defines patentably distinct independent claim 1. Dependent claim 23 further defines patentably distinct independent claim 22. Therefore, these dependent claims are also believed to be allowable.

Therefore, Applicants respectfully request reconsideration and withdrawal of the 35 U.S.C. § 102(e) rejection to the claims, and request allowance of claims 1, 5, 22-23, 25 and 27-28.

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**Claim Rejections under 35 U.S.C. § 103**

The Examiner rejected claim 24 under 35 U.S.C. § 103(a) as being unpatentable over the Aung et al. U.S. Patent Publication No. 2003/0212939 in view of the admitted prior art (APA).

Dependent claim 24 further defines patentably distinct independent claim 22. Therefore, this dependent claim is also believed to be allowable.

Therefore, Applicant respectfully requests reconsideration and withdrawal of the 35 U.S.C. § 103(a) rejection to claim 24, and request allowance of this claim.

**Allowable Subject Matter**

Claims 8-21 and 26 are allowed.

The Examiner objected to claims 2-4 and 6-7 as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Applicant agrees with the Examiner that claims 2-4 and 6-7 would be allowable if rewritten in independent form. However, as dependent claims 2-4 and 6-7 further define patentably distinct independent claim 1, these dependent claims are believed to be allowable in dependent form. Therefore, Applicant respectfully requests the objections to claims 2-4 and 6-7 be removed and that these claims be allowed in dependent form.

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**CONCLUSION**

In view of the above, Applicant respectfully submits that, in addition to allowed claims 8-21 and 26, pending claims 1-7, 22-25, and 27-28 are in form for allowance and are not taught or suggested by the cited references. Therefore, reconsideration and withdrawal of the rejections and formal allowance of claims 1-28 is respectfully requested.

No fees are required under 37 C.F.R. 1.16(h)(i). However, if such fees are required, the Patent Office is hereby authorized to charge Deposit Account No. 50-0471.

The Examiner is invited to contact the Applicant's representative at the below-listed telephone numbers to facilitate prosecution of this application.

Any inquiry regarding this Amendment and Response should be directed to Patrick G. Billig at Telephone No. (612) 573-2003, Facsimile No. (612) 573-2005. In addition, all correspondence should continue to be directed to the following address:

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Respectfully submitted,

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